

致：越秀證券有限公司

敬啟者：

**《證券及期貨(客戶證券)規則》(香港法例第 571H 章)下的常設授權**

本授權書有關由越秀證券有限公司(「越秀證券」)管理及買賣本人的證券。除非另有說明，否則在本授權書使用的所有字詞的含義如不時修訂的《證券及期貨條例》(香港法例第 571 章)及《證券及期貨(客戶證券)規則》(香港法例第 571H 章)所定義。

本人/我們在此授權越秀證券：

- (i) 將本人/我們的任何證券抵押品存放於認可財務機構(定義見《銀行業條例》(香港法例第 155 章))，作為提供予越秀證券的財務通融的抵押品；
- (ii) 依據證券借貸協議運用本人/我們的任何證券或證券抵押品，包括但不限於由本人/吾等與越秀證券訂立的證券借貸協議，或由越秀證券與其他獲發牌或獲註冊進行證券交易的中介人訂立的證券借貸協議；
- (iii) 將本人/我們的任何證券抵押品存放於認可結算所或另一獲發牌或獲註冊進行證券交易的中介人，作為解除本人/我們在交收上的義務和清償本人/我們在交收上的法律責任的抵押品；
- (iv) 按照越秀證券的《客戶協議》出售或運用本人/我們的任何證券或證券抵押品；
- (v) 如越秀證券在進行證券交易的過程中，或在越秀證券獲發牌或獲註冊進行的任何其他受規管活動的過程中，向本人/我們提供財務通融，則按照上文第(i)、(ii)、(iii)及/或(iv)運用或存放任何證券抵押品；及
- (vi) 按照越秀證券經考慮不時的適用法律及規管規定而認為適當的方式，處理及處置本人/我們的證券及證券抵押品。

本人/我們同意越秀證券可作出以上任何行動，而毋須向本人/我們提供任何事先通知。

在本授權書中給予越秀證券的權力，須在本授權書日期起十二(12)個月內有效，除非本人/我們給予書面通知撤銷本授權書。該通知須於越秀證券實際收到後十四(14)天後生效。

本人/我們明白此授權可：

- (a) 在屆滿之日之前由本人/我們提出書面同意將之續期；或
- (b) 如果越秀證券在此授權有效期滿前十四(14)天，向本人/我們發出書面通知，指出除非本人/我們反對，否則該授權將按此授權所指明的條款及條件續期十二(12)個月，則此授權將自動續期。

本人/我們獲提供本授權書之中英文版本，本函之英文版本將被視為最終並具決定性，中文翻譯僅供參考。本人/我們完全理解本函之內容。本人/我們承認，越秀證券已向本人/我們解釋提供本授權書的風險，而本人/我們可按本人/我們的意願發問任何問題並諮詢獨立意見。為免生疑問，本人/我們明白證券及/或證券抵押品可能受到留置權或第三方的合法申索所限，而將任何我們明白證券及/或證券抵押品歸還給本人/我們可能須符合留置權。雖然越秀證券繼續為歸還在本授權書下借出或存入的任何證券及/或證券抵押品向本人/我們承擔責任，越秀證券違約可能導致證券及證券抵押品的損失。

客戶名稱：\_\_\_\_\_

帳戶號碼：\_\_\_\_\_

客戶簽署

日期

To: **Yue Xiu Securities Company Limited**

Dear Sirs,

**Standing Authority under the Securities and Futures (Client Securities) Rules (Cap. 571H of the Laws of Hong Kong)**

This letter of authorisation is in respect to the management of and dealing of my securities with Yue Xiu Securities Company Limited (“**Yue Xiu Securities**”). Unless otherwise defined, all the terms used in this authorisation shall have the same meanings as defined in the Securities and Futures Ordinance (Cap. 571 of the Laws of Hong Kong) and the Securities and Futures (Client Securities) Rules (Cap. 571H of the Laws of Hong Kong) as amended from time to time.

I/we hereby authorise Yue Xiu Securities to:

- (i) deposit any of my/our securities collateral with an authorised institution (as defined in the Banking Ordinance (Cap. 155 of the Laws of Hong Kong)) as collateral for financial accommodation provided to Yue Xiu Securities;
- (ii) apply any of my/our securities or securities collateral pursuant to securities borrowing and lending agreements, including but not limited to securities borrowing and lending agreements entered into between me/us and Yue Xiu Securities or securities borrowing and lending agreements entered into by Yue Xiu Securities with other intermediaries licensed or registered for dealing in securities;
- (iii) deposit any of my/our securities collateral with a recognised clearing house or another intermediary licensed or registered for dealing in securities as collateral for the discharge and satisfaction of my/our settlement obligations and liabilities;
- (iv) dispose or apply any of my/our securities or securities collateral pursuant to the Client Agreement of Yue Xiu Securities;
- (v) apply or deposit any of the securities collateral in accordance with (i), (ii), (iii) and/or (iv) above if Yue Xiu Securities provides financial accommodation to me/us in the course of dealing in securities or any other regulated activity for which Yue Xiu Securities are licensed or registered; and
- (vi) treat and deal with my/our securities and securities collateral in such manners as Yue Xiu Securities considers appropriate taking into account any applicable legal and regulatory requirements from time to time.

I acknowledge and agree that Yue Xiu Securities may perform any of the above without giving prior notice to me/us.

The authority given to Yue Xiu Securities herein shall be valid for twelve (12) months from the date of this letter unless revoked by written notice given by me/us. Such notice shall take effect fourteen (14) days after Yue Xiu Securities actual receipt.

I/we understand that the authority may be:

- (a) renewed by written consent made by me/us before the date of expiry; or
- (b) deemed to be renewed automatically if Yue Xiu Securities issue me/us a written notice upon fourteen (14) days prior to the expiry of this authority informing me/us that unless I/we object, the authority will be renewed upon the same terms and conditions as specified in the this authority for a further period of twelve (12) months.

This letter of authorisation is provided to me/us in Chinese and English and the contents of this letter of the English version, as opposed to the Chinese translation, shall be deemed to be conclusive and definitive. I/we fully understand the contents of this letter. I/we acknowledge that Yue Xiu Securities have explained to me/us the risk of providing this authorisation and if I/we wish, I/we may ask questions and take independent advice. For avoidance of doubt, I/we understand that the securities and/or securities collateral may be subject to liens or lawful claims of third parties and return of such securities and/or securities collateral to me/us may be subject to satisfaction of such liens. Although Yue Xiu Securities remain responsible to me/us for the return of any of the securities of securities collateral lent or deposited under this authorisation, a default by Yue Xiu Securities may lead to loss of the securities and securities collateral.

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Client's Name: \_\_\_\_\_

Account Number: \_\_\_\_\_

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Client's Signature

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Date